## Yuan Ze University Regulations for the Application and Use of Institutional Research Data

Approved by the 22nd Executive Council Meeting of the 2016 Academic Year on July 4, 2017.

- Article 1 The Institutional Research Center (hereinafter referred to as "the Center") is responsible for collecting and analyzing data related to university operations, as well as enhancing the value-added application of institutional data for decision-making in order to improve academic research capabilities and support the overall development of the university. In response to the need for information transparency and to establish guidelines for the use and external publication of data, these "Regulations on the Application and Use of Institutional Research Data" (hereinafter referred to as "the Regulations") are formulated in accordance with government regulations on information disclosure and Personal Data Protection Act.
- Article 2 The "institutional research data" referred to these Regulations primarily refers to data currently stored in the Institutional Research Data Platform at the Center. In principle, data related to the operations of various administrative and academic units within the university may be considered institutional research data and can be requested through the Center. Such data must undergo de-identification processing before being placed on the Institutional Research Data Platform. Access permissions will be granted to relevant personnel for utilization, and the data will be managed under applicable information security regulations.

#### **Article 3** Data Application Procedures

### 1. Eligibility for Application

Applicants for data must primarily be faculty or staff members of the university who are engaged in institutional research-related topics. They apply data to the Center for their research in accordance with these Regulations.

#### 2. Application Procedure

To apply for the use of data required for institutional research, applicants must fill out "Yuan Ze University Institutional Research Data Usage Application Form" and submit it along with the relevant supporting documents in writing to the Center.

- (1) If the requested data is already available at the Center, the applicant may use the data from the "Institutional Research Data Platform" after receiving approval from the Center within the authorized usage period.
- (2) If the requested data is unavailable at the Center, the Center will obtain consent from the relevant administrative units through the "Yuan Ze University Special Use Application Form for Large-scale Personal Data." Once consent is granted, the applicant will be authorized to use the data.
- (3) The Center reserves the right to review the reasons for the application and the supporting documents provided to approve or deny the application.

#### **Article 4** Obligations of Data Users

- 1.Data users must primarily be faculty or staff members of the university engaged in institutional research-related topics.
- 2.Users are prohibited from modifying, altering, falsifying, or concealing the data. Any such actions are the sole responsibility of the user and will be considered a violation of the regulations. In such cases, the user's access to the data will be terminated, and in severe cases, future access privileges may be revoked.
- 3.Users are prohibited from re-authorizing, transferring, or otherwise allowing third parties to access or use the data. If the data is used in a way that harms the university or the rights of others, the user will be held liable for damages and other legal responsibilities.

#### **Article 5** Dissemination of Research Results

- 1.The primary use of the institutional research database is for institutional research purposes.
- 2.Personnel involved in the handling, processing, applying, or storing institutional research data must comply with the Personal Data Protection Act and relevant regulations and interpretations. Violations will result in civil and criminal liabilities, as well as penalties in accordance with university policies. This applies even after the individual leaves the university. Data for academic research and publication must also adhere to relevant academic and research ethics guidelines.
- 3. When research data users publish their research results, they must acknowledge the source of the data or credit the "Institutional Research Center of Yuan Ze University."

  The related research reports must be submitted to the Center for archival purposes.
- **Article 6** If identifiable data is discovered, it must be immediately reported to the Center, and the relevant data must not be publicly disclosed.
- **Article 7** If any violation of these Regulations occurs, the use of the data shall be suspended, and the matter shall be referred to the Institutional Research Advisory Committee for further action.
- **Article 8** If the research results derived from the use of the Center's database involve improper use or infringement of personal privacy, in addition to facing administrative penalties in accordance with relevant regulations, the matter shall be referred to the judicial authorities for investigation under the Criminal Code, the Personal Data Protection Act, and other applicable laws.
- **Article 9** Any matters not addressed in these Regulations shall be handled in accordance with relevant laws and regulations.
- **Article 10** The Regulations take effect upon approval by the Executive Council Meeting. The same procedure applies to any amendments.

In case of any discrepancy between the Chinese and English versions, the Chinese version shall prevail.

# 元智大學校務研究資料使用申請表

## Yuan Ze University Institutional Research Data Usage Application Form

			申請序號(由校務研究中心填寫):			
			Application Number (Filled in by the IR Center			
一、申請基本資料 Application Information						
	青單位 ant Unit		申請單位 主管核章			
申請者及 Portal 帳號 Applicant & Portal ID			Signature of the Supervisor for Applicant Unit			
申請者分機 Extension number			申請者職稱 Position			
申請目的用途 Purpose of Application (請詳細説明) (Detailed Explanation)		<ul> <li>□ 同步開啟 IR 平台「Excel 資料表單去識別化」功能,用於資料分析之去識別化,以利資料串接。</li> <li>□ Enable the 'Excel Data De-identification' function on the IR platform simultaneously, for data analysis de-identification to facilitate data integration.</li> </ul>				
編號 No.		申請資料使用期限 cation Data Usage Period	詳列資料項目 (建議參考 IR 平台欄位說明 pdf) The Data Items in Detail (Please refer to the <b>Form Field Descriptions</b> of IR Platform)			
例 Ex.	自 110 / 05 / 20 至 110 / 06 / 20 止 From 2011/05/20 to 2011/06/20		101-106 學年度課程學期總成績 Final Course Grades from 2002 to 2007 Academic years			
1						
2						
3						

□ 我已詳細閱讀且同意「元智大學校務研究資料申請及使用規範」,並遵守保密規定,對							
職務上所蒐集、處理及利用之文件或資料(含個人資料)應負完全保密之責。							
☐ I have read and agree to the " Regulations on the Application and Use of Institutional							
Research Data at YZU ", and will comply with the confidentiality regulations. I am fully							
responsible for maintaining the confidentiality of any documents or data (including							
personal data) collected, processed, or used in the course of my duties.							
二、校務研究中心審核結果(以下由校務研究中心填寫)							
Review results of the Office of Institutional Research (Filled in by the IR Center)							
校務研究中心							
審核意見	□ 通過 Approved (編號 No.	: )之申請。					
Review	<ul><li>□ 不通過 Not approved (編號 No.: ) 之申請,</li><li>原因 Reason:</li></ul>						
comments from							
the IR Center							
校務研究中心		校務研究中心					
承辦人		主任					
Person in		Director of the					
Charge of the							
IR Center		IR Center					

※本表行政流程:申請者填寫申請表經單位主管簽核後,送本中心承辦人審核,經中心主任簽核後,五個工作 天將資料進行整理後提供給申請者。若有相關問題請洽校內分機 2035、2213,謝謝!

Administrative Process: The applicant fills out the application form, and signed by the unit supervisor and sent to the person in charge at the IR center for review. After being signed by the center director, the data will be organized and provided to the applicant within five working days. For any related questions, please contact the campus extensions 2035 or 2213. Thank you!