

Regulations for the Internal Control Committee of Yuan Ze University

Approved by the 23rd Executive Council Meeting of the 2015 Academic Year on July 20, 2016
Amended and Approved by the 16th Executive Council Meeting of the 2016 Academic Year on March 29, 2017
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Article 1 To effectively implement the internal control system, Yuan Ze University (hereinafter referred to as "the University") establishes the Internal Control Committee (hereinafter referred to as "the Committee") to ensure the adequacy of the design and implementation of the internal control system.

Article 2 The Committee is chaired by the President. It comprises the Vice President, Deans of Academic Affairs, Student Affairs, General Affairs, Research and Development, Library and Information Services, Global Affairs, and Secretary General, Directors of Personnel, General Accounting, Director of the Environmental Protection and Occupational Safety and Health Center, Deans of all colleges, Director of the College of General Studies, and the School of Lifelong Education. The President may appoint internal or external experts and scholars as consultants based on the needs of business operations. Several secretaries are assigned to handle related administrative affairs for the Committee, and these secretaries are staffed by personnel from the Secretariat Office.

Article 3 The Committee establishes an Internal Control Promotion Task Force to coordinate the planning of internal control initiatives. This task force is chaired by the Secretary General. It consists of one representative (who also serves as the internal control liaison officer) from each administrative unit, college-level academic unit, the School of Lifelong Education., the Physical Education Office, and the Military Education Office.

Article 4 The responsibilities of the Committee are as follows:

1. Proposing internal control policies and strategies based on the five components of internal control: control environment, risk assessment, control activities, information and communication, monitoring activities, and establishing a management mechanism.
2. Promoting and supervising the planning and implementation of the University's internal control system.
3. Reviewing the risks and significance of various operations to ensure their adequacy.
4. Examining and enhancing internal control operations.
5. Researching internal control checkpoints.
6. Reviewing or noting other significant matters related to the internal control system.

Article 5 The term of Committee members is one year, aligned with the academic year.

Article 6 The Committee shall convene at least once per semester and may hold interim meetings when necessary. Meetings require the attendance of more than half of the members, and

representatives from relevant units may be invited to attend.

When agenda items require voting, it shall be passed with the approval of more than half of the attending members.

Article 7 These regulations shall be implemented following approval by the Executive Council Meeting, with the same process applicable for amendments.

In case of any discrepancy between the Chinese and English versions, the Chinese version shall prevail.